

01-08-02

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January 2, 2002

Commissioner for Patents  
Washington, D.C. 20231

Re: Inventor: Cleghorn et al.  
U.S. Patent Application for SYSTEM AND METHOD FOR PROCESSING  
DATABASE QUERIES  
Serial No.: 09/964,954  
Filing Date: September 27, 2001  
Our File No.: 125310-1000

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Dear Sir:

In regard to the above-referenced matter, enclosed for filing please find the following items:

- (1) Information Disclosure Statement (3 pp);
- (2) PTO Form 1449 (1 p);
- (3) Copies of four (4) references; and
- (4) Return Postcard.

Please file the referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Respectfully submitted,



Daniel J. Chalker  
Reg. No. 40,552  
DJC/kl:D1092496v1

Enclosures

"Express Mail" mailing label number EK107363815US  
Date of Deposit: January 2, 2002  
I certify that the accompanying Application is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, DC 20231.



Karen L. Thiesse



#5

Attorney Docket No.: 125310-1000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cleghorn et al.

Serial No.: 09/964,954

Filing Date: September 27, 2001

Examiner:

Group No.: 2171

For: SYSTEM AND METHOD FOR PROCESSING DATABASE QUERIES

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Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO-1449, submitted herewith are copies of the indicated references which applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE  
INFORMATION DISCLOSURE STATEMENT

- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in §1.491 in an international application.

☒ This Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.

☐ This Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

☐ a statement specified in 37 CFR § 1.97(e); or

☐ a fee set forth in 37 CFR § 1.17(p)

☐ This Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and

2. a fee set forth in 37 CFR § 1.17(p)

STATEMENT FOR INFORMATION DISCLOSURE STATEMENT 37 CFR 1.97(e)  
(Only if Required Above)

I, the person(s) signing below hereby states that:

☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(1); or

☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonably inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(2).


FEE PAYMENT

[ ] attached is a check in the amount of \$ \_\_\_\_\_

[ ] charge Deposit Account No. 07-0153  
in the amount \$ \_\_\_\_\_

**A duplicate of this request is attached.** Applicant believes no additional fees are due for the filing of this IDS. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Dated: January 2, 2002

  
Daniel J. Chalker  
Attorney for Applicant and Assignee  
Registration No.: 40,552

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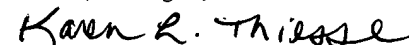
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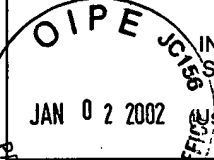
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Karen L. Thiesse

FORM PTO-1449 (Rev. 2-32)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 125310-1000	SERIAL NO. 09/964,954
	APPLICANT: Cleghorn et al.	
	FILING DATE: 01/02/02	GROUP: 2171

 INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,081,591	06/27/00	Frederick H. Skoog	379	230	
	6,084,956	07/04/00	Turner et al.	379	230	
	6,111,893	08/29/00	Volftsun et al.	370	466	
	6,151,390	11/21/00	Volftsun et al.	379	229	

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## FOREIGN PATENT DOCUMENTS

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.